

Investment Funds

Application form for Private Individuals investing outside an ISA and/or Pension.

How to fill in this form:

- Please use black ink and write clearly inside the boxes provided using capital letters.
- Mark your answers with a cross in the appropriate box like this:
- If you make a mistake, please correct it but don't use correction fluid.
- We may need to contact you if you don't complete all details correctly, this may delay your application.**
- If you have any queries about this form, please call our customer services team on **0800 41 41 61**.

Please note:

- An immediate family member who would like to pay into the account should complete section 3.
- If you are not the beneficial owner of this investment, you will need to complete a different form available from **fidelity.co.uk/forms**. Further information is available in the 'About you' section.

What's next?

Please send your completed application form to:

**Fidelity Personal Investing (IMS)
PO Box 80
Tonbridge TN11 9YA**

We will send you confirmation of any lump-sum investments or Direct Debits.

1 About you

To help us protect you from fraud we need to check your identity (this is also part of our anti-money laundering obligations). We can usually do this using an electronic verification system with the information provided. This will create a record on your credit report, which will only be visible to you and will not affect your credit score. If we are unable to do this, we may need to ask for additional identity verification documents.

Fidelity Account Number or Customer Reference Number (if you already have an account with us)

Title

 Mr Mrs Ms Other:

Surname

First and other names in full

Your address - 'Care of' and PO Box are not acceptable. Only UK addresses are eligible unless you or your spouse/civil partner are a Crown Employee or British Forces Posted Overseas

House number/name

Street, city, county and country

 Postcode

Crown Employee?

If your address is outside the UK and you are a Crown Employee or the spouse/civil partner of a Crown Employee, please mark an X in this box.

Telephone number

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1 About you (continued)

Email address

Date of birth (DDMMYYYY)

Town of birth

Country of birth

National Insurance Number

(This can be found on a payslip or a letter from HMRC)

No National Insurance Number?

If you have never been issued with a National Insurance Number please mark an X in the box.

Are you a UK National only? (Please mark an X in the box)

Are you a UK National and National of one or more other countries? (tick box and list all other countries below)

Are you a National of Non-UK countries only? (tick box and list all other countries below)

Nationality 1

Nationality 2

Nationality 3

Nationality 4

Driving Licence number (If applicable - 18 characters as shown on your photocard)

Employment Status

Employed Self-Employed Full-Time education Unemployed Pensioner

Source of this investment

Income from salary Inheritance Savings from income

Divorce Settlement Gift

Sale of Property Sale of Investments/transfer

Other (Please specify)

New Account Designation

If you hold existing Fidelity accounts excluding an ISA you must enter a designation to identify this new account, otherwise this investment will be treated as a top up into your existing Fidelity account. Please note that you cannot use the word 'trust' in the designation. To open a trust account please use the Investment Funds Application form for Company, Scheme and Trust Accounts.

1 About you (continued)

Are you a resident in the UK for tax purposes? If yes please mark an X in this box

Are you also a resident in any other country(s) for tax purposes? If so please complete the following fields:

First country

First country tax identifier

Additional country

Additional country tax identifier

Confirmation of Beneficial Owner

Please review the appropriate option that indicates your beneficial ownership relationship and proceed as directed:

- a) I am personally entitled to the assets (cash and investments) in this account and hold them for my own benefit. Please mark an X in the box and proceed to Section 2.
- b) I hold the assets in this account exclusively for someone else and will take no personal benefit from the account. This form is not suitable for you, please complete one of our Trust forms (available on our website)

2 Joint account holders details

Would you like joint holders on this account? If yes please mark an X in this box

All holders must sign the back page of this form. The Joint Holder Supplement Form must also be completed and returned with this application form.

3 To be completed by an immediate family member paying into this account

An immediate family member is defined as: spouse; civil partner, parent, grandparent, child (including stepchild)

To help us protect you, as the immediate family member, from fraud we need to check your identity (this is also part of our anti-money laundering obligations). We can usually do this using an electronic verification system processed in accordance with Fidelity's privacy policy with the information you give us below. This will create a record on your credit report, which will only be visible to you and will not affect your credit score. If we are unable to do this we may need to ask for additional identity verification documents.

Title

Mr Mrs Ms Other:

Surname

First and other names in full

Your address

House number/name

Street, city, county and country

Postcode

Phone number (in case we need to check anything)

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3 To be completed by the immediate family member paying into this account

Date of birth (DDMMYYYY)

Town of birth

Country of birth

Employment Status

- Employed Self-Employed Full-Time education Unemployed Pensioner

Source of this investment

- Savings from income Inheritance Income from salary

- Divorce Settlement Gift

- Sale of Property Sale of Investments/transfer

- Other
(Please specify)

4 Your investment choices

- We can only accept regular saving payments from the account holder. If you are going to make regular saving payments then you must complete the Direct Debit mandate in Section 5. There is a regular minimum investment of £25 for each fund and £50 per application. **Any regular saving payments instructions given here will override the existing regular saving payment details for the account.**
- There is a minimum lump sum investment of £1,000.
- Lump sum payments can be made by the account holder and/or a third party and should be made by cheque. **Cheques should be issued from a personal or joint bank account.** Cheques must be payable to Fidelity and include the account holders name e.g. 'Pay Fidelity - re John Smith'. If an application is accompanied by a bankers draft or building society cheque, the reverse of the draft / cheque must contain details of the original account debited; full name, account number and the sort code. Cheques must be endorsed with the banks official stamp.

The bank mandate details will not be used as a debit instruction in order to subscribe to this investment.

Please provide your fund choices and the amounts you want to invest below.

It's important to write the fund code and name clearly inside the boxes provided using capital letters - we use the code to determine your fund choice. You can find details of all investment options and fund codes at fidelity.co.uk/funds

If you wish to invest in a regular savings plan, please enter the total amount in £ in the Regular Savings Amount box, followed by a percentage split for your chosen funds in the below table. The percentage split should total 100 %.

Regular savings (£)

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	.	<input type="text"/>	<input type="text"/>
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Fund code	Fund name	Lump sum (£)	Regular savings %
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	Cash	<input type="text"/>	<input type="text"/>
Total		<input type="text"/>	1 0 0 %

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Investments made into Cash* will be made into the cash holding within the account.

We collect regular savings payments on the 1st, 10th, 17th, or 25th of the month. We will start your regular savings plan on the next available date and collect once a month thereafter. Following the first payment, you can go to fidelity.co.uk to change the date or frequency of your regular savings plan.

When we open your new account any income paying funds will automatically pay income to cash. This helps cover fees and charges. More income options are available online. Please go to fidelity.co.uk for details.



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5 Bank account details

Any bank account details given in this section will override existing bank details that we already hold for you as the account holder.

Please do not complete these details unless you are the account holder. We cannot accept regular savings payments from third parties.

Please make sure that you sign and date the instruction below.

	<p>Instruction to your bank or building society to pay by Direct Debit</p>																											
<p>Please fill in the whole form using a ballpoint pen and send to: Fidelity Personal Investing, PO Box 80, Tonbridge, TN11 9YA.</p>																												
<p>Name and full postal address of your bank or building society</p> <p>To: The Manager Bank/building society</p> <hr/> <p>Address</p> <hr/> <p style="text-align: right;">Postcode</p>	<p>Service User Number</p> <table border="1" style="width: 100%; text-align: center;"> <tr> <td style="width: 20px;">4</td> <td style="width: 20px;">4</td> <td style="width: 20px;">6</td> <td style="width: 20px;">1</td> <td style="width: 20px;">3</td> <td style="width: 20px;">8</td> </tr> </table>	4	4	6	1	3	8	<p>Reference</p> <table border="1" style="width: 100%; height: 20px;"> <tr> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> </tr> </table>																				
4	4	6	1	3	8																							
<p>Name(s) of account holder(s)</p> <hr/>	<p>Instruction to your bank or building society</p> <p>Please pay Financial Administration Services Ltd Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with Financial Administration Services Ltd and, if so, details will be passed electronically to my bank/building society.</p>																											
<p>Bank/building society account number</p> <table border="1" style="width: 100%; text-align: center;"> <tr> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> </tr> </table>																					<p>Signature(s)</p> <hr/> <p>Date</p>							
<p>Branch sort code</p> <table border="1" style="width: 100%; text-align: center;"> <tr> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> </tr> </table>																												
<p>Banks and building societies may not accept Direct Debit Instructions for some types of account</p>		<p>DDI2</p>																										

Fidelity does not accept instructions for payments to be made to an account other than the client's own personal account. If the account number and sort code are incorrect, Fidelity will not accept responsibility for any loss incurred by the applicant.

6 Declaration and signature

I/We understand that the personal information I/we provide on this application form will be processed in accordance with Fidelity's privacy statement contained in the Fidelity Client Terms.

I/We declare that:

- By signing this form I/we confirm that I am not/ we are not a US citizen, that I am not/we are not resident in the US, and that I do not/we do not have an obligation to pay tax to the US tax authorities on my/our worldwide income.
- I/We have read and saved or printed the latest version of:
 - the Key Features Document – Doing Business with Fidelity incorporating the Fidelity Client Terms
 - the key information document
 - the illustration document

Important Notice: If you have not received one or all of the documents listed above relating to the fund(s) you wish to invest in, please go to **fidelity.co.uk** or contact us on **0800 41 41 61** Monday to Friday 8am to 6pm and Saturday 9am to 6pm.

- I/We accept the Fidelity Client Terms
- All subscriptions made, and to be made, belong to me/us, and that I am/we are 18 years of age or over.
- The information given by me/us is correct to the best of my/our knowledge, and I will inform Fidelity immediately of any changes to the information contained therein.

Signing on behalf of others

If you are an attorney signing on behalf of the applicant, you must attach:

- an original sealed Court of Protection/Enduring Power of Attorney stamped by the Office of the Public Guardian, or
- Power of Attorney

Copies of the Power of Attorney must, on every page, be certified as true copies with:

- the words 'I certify this to be a true copy of the original', and
- the certifier's signature and printed name, date, official stamp or professional capacity.

Documents can be certified by a solicitor, justice of the peace, notary public, commissioner of oaths or a member of staff from a regulated financial services business.

Signatures of all applicants

By signing here you confirm that you've read and completed all relevant sections as per the instructions on this form.

Primary account signature

Print name

Second account signature

Print name

Third account signature

Print name

Fourth account signature

Print name

Date signed

Note: For applications with joint holders the Joint Holder Supplement Form must also be completed and returned with this application form.

How can Fidelity's experts help me?

We want to help you stay informed about how to make the most of your savings. To tell us how you want to receive your expert financial insights, simply visit **www.fidelity.co.uk/preferences**.

Online Reporting and Documentation

Register for online account management and receive all your contract notes, statements and valuations, updates to terms and conditions, and other documentation electronically online. Go to **fidelity.co.uk/register**