

The Fidelity SIPP

Third Party Application form

Use this form to:

- apply for a Fidelity SIPP with a single or regular payment from your spouse/civil partner or any other third party. You will also be able to make payments, should you wish to do so, once the account has been opened.

Don't use this form if:

- you are making payments/transfers to your pension
- your employer is making payments to your pension
- you are applying for a Junior SIPP.

Before you fill in this form:

- make sure you read the important documents shown in the box to the right.

How to fill in this form

- please use black ink and write clearly inside the boxes provided using capital letters
- mark your answers with a cross in the appropriate box like this:
- if you make a mistake, please correct it but don't use correction fluid.

Checklist

- Check that you have answered all the relevant questions
- If a single contribution is being made by cheque, remember to attach the cheque(s) as per section 4.
- If regular contributions are being made, remember to complete and sign the Direct Debit Instruction(s) in section 4.
- Please ensure that this cheque payment is separate to other payments that are made to us. Building Society and Bank Draft payments must bear a reference to confirm that this is the spouse/civil partner or any other third party's money. Please ensure their name is clearly added by the issuing bank only, on the face of the cheque
- Read and sign the Declaration in section 6.

What's next?



Remove the Direct Debit Guarantee, then send your completed form to:

Fidelity Personal Investing
PO Box 80
Tonbridge
TN11 9FF



Further information on [fidelity.co.uk](https://www.fidelity.co.uk)

You should read these documents before completing this form. You will find them at [fidelity.co.uk/sippinfo](https://www.fidelity.co.uk/sippinfo)

- Terms and Conditions - this document governs our relationship with you and forms the agreement between us in relation to the Fidelity SIPP.
- Key Features Document - this summarises everything you need to know about the Fidelity SIPP
- When choosing your funds make sure you read the key information document which sets out key information about the fund including the objectives and risks, what it invests in and its charges.

Want to talk to us?

Freephone **0800 358 7480**

Mon-Fri 8am-6pm and Sat 9am-6pm

1 About you

1.1 Your details

1.1.1 Title

Mr Mrs Ms Other:

1.1.2 Surname

1.1.3 First and other names in full

1.1.4 Gender

Male Female

1.1.5 Date of birth (DDMMYYYY)

Town of birth

1.1.6 Country of birth

1.1.7 Employment status

Employed Self-employed Unemployed Full-time education
 Pensioner Caring for children under 16 Other - please specify below.

1.1.8 Do you have a National Insurance (NI) number? You can find this on a payslip or a letter from HMRC or DWP.

No Yes -

1.1.9 Are you a UK National only? (Please mark an X in the box)

1.1.10 Are you a UK National and National of one or more other countries?

(Please mark an X in the box and list all other countries below)

1.1.11 Are you a National of Non-UK countries only?

(Please mark an X in the box and list all other countries below)

Nationality 1

Nationality 2

Nationality 3

Nationality 4

1.1.12 Driving Licence number (if applicable - 18 characters as shown on your photocard)

1.1.13 Phone numbers

Home

Mobile

2 About the spouse/civil partner or other third party (continued)

2.1.9 Their address. 'Care of' and PO Box are not acceptable.

House number/name

Street, city, county and country

Postcode

3 Your annual allowance

3.1 Have you been notified by any of your pension providers that the amount you can pay into a pension is limited to £4,000?

Yes

No

If your annual allowance is £4,000 when did this become effective from:

Date

(DDMMYYYY)

Annual allowance:

If you have made withdrawals from your pension, your annual allowance may have reduced to £4,000. This is called the Money Purchase Annual Allowance and your pension provider should have told you. If this applies to you, you must inform us in this section.

4 Spouse/civil partner or other third party contributions

4.1 Your contributions

Due to regulatory requirements we must obtain information about the source of your contributions before we can accept your application.

4.1.1 Source of this investment

Income from salary Divorce Settlement Sale of Property Inheritance

Gift Sale of Investment/transfer Savings from income

Other - please specify:

4.2 Single contributions

4.2.1 Does the spouse/civil partner or other third party want to make a single contribution?

No - go to 4.3

Yes - what is the amount?

£

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4.2.2 How will they pay for this?

Cheque/banker's draft - must be made payable to Fidelity from their personal or joint account. For building society cheques or banker's drafts please also ensure that the bank/building society enters the name and address of the spouse/civil partner or other third party on the reverse of the cheque/draft and adds their stamp.

4 Spouse/civil partner or other third party contributions (continued)

4.3 Regular contributions

4.3.1 Does the spouse/civil partner or other third party want to make regular contributions?

No - go to section 5: Your investment choices ►

Yes - what is the regular amount they want to pay into your plan? (min £50) £ •

4.3.2 When would you like your regular contributions be taken from your bank account?

10th **OR** 25th

Please allow twelve working days for us to set up your Regular Savings Plan.
Collections will then start on the next available payment date and follow the frequency you have selected.

4.3.3 How often would you like payments to contribute?

Monthly Quarterly Every 6 Months Annually

We are currently changing our administration system. Please complete both of the attached Direct Debit forms on the next page. We will then set up your regular contribution using only those details necessary to complete your instruction. ►

We are currently changing our administration system. Please complete both of the attached Direct Debit forms on this page. We will then set up your regular contribution using only those details necessary to complete your instruction.



Instruction to your bank or building society to pay by Direct Debit



Please fill in the whole form using a ballpoint pen and send to: Fidelity Personal Investing, PO Box 80, Tonbridge, TN11 9YA.

Name and full postal address of your bank or building society

| | |
|-----------------|-----------------------|
| To: The Manager | Bank/building society |
| Address | |
| Postcode | |

Service User Number

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Reference

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Name(s) of account holder(s)

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Instruction to your bank or building society

Please pay Financial Administration Services Ltd. Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with Financial Administration Services Ltd and, if so, details will be passed electronically to my bank/building society.

Bank/building society account number

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Branch sort code

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| Signature(s) |
| Date |

Banks and building societies may not accept Direct Debit Instructions for some types of account

DD12



Instruction to your bank or building society to pay by Direct Debit



Please fill in the whole form using a ballpoint pen and send to: Fidelity Personal Investing, PO Box 80, Tonbridge, TN11 9YA.

Name and full postal address of your bank or building society

| | |
|-----------------|-----------------------|
| To: The Manager | Bank/building society |
| Address | |
| Postcode | |

Service User Number

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Name(s) of account holder(s)

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Instruction to your bank or building society

Please pay FIL SIPP Trustee (UK) Limited. Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with FIL SIPP Trustee (UK) Limited and, if so, details will be passed electronically to my bank/building society.

Bank/building society account number

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Branch sort code

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| Signature(s) |
| Date |

Banks and building societies may not accept Direct Debit Instructions for some types of account

DD12

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5 Your investment choices

5.1 About investing your contributions

We will follow your investment instructions below, but where we cannot achieve this we will hold your money as cash within your account. This form is for investing into funds and cash only. Should you wish to invest in exchange traded investments, then please specify cash at this point. Then you can use our online service at fidelity.co.uk to make your investment later.

Need help choosing funds? We've developed a range of easy options to help you choose funds to put in your Fidelity SIPP. Take a look at the guidance section of our website at fidelity.co.uk

Please ensure you include the fund code as your fund choice will be derived from the fund code and not the fund name. These can be found on each fund fact sheet at fidelity.co.uk

Should any of your new funds pay income, then income payments will be automatically reinvested on your account.

EXAMPLE OF HOW TO COMPLETE THIS SECTION:

| Fund code | Fund name | Single contribution | Regular contribution |
|-----------|------------------------------------|---------------------|----------------------|
| W A M A | Fidelity American Fund W Acc | 1 0 0 % | 6 0 % |
| J U S I A | Jupiter Strategic Bond I Class Acc | % | 4 0 % |

| Fund code | Fund name | Single contribution | Regular contribution |
|---|-----------|---------------------|----------------------|
| | | % | % |
| | | % | % |
| | | % | % |
| | | % | % |
| | | % | % |
| | | % | % |
| | | % | % |
| | | % | % |
| | | % | % |
| Amount to be paid into cash within my account | | % | % |
| Totals should add up to 100% | | 1 0 0 % | 1 0 0 % |

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6 Your application summary

6.1 Occupational scheme opt in/out

6.1.1 Have you opted out of an occupational pension scheme or are you planning to opt out of an occupational pension scheme in favour of making payments to this plan?

Yes No

6.2 Declarations

I confirm that where I do not understand any or all of the information relating to my application for the Fidelity SIPP, I will seek suitable advice before proceeding.

In relation to my application for the Fidelity SIPP, I make the declarations set out below

I declare that:

- I have been given copies of the Fidelity SIPP Terms & Conditions, Key Features Document and key information documents relevant to the chosen fund(s) to read, and acknowledge that I have read these documents and will be bound by them (as may be amended from time to time) in the event of my application being successful.
- I agree to pay all the charges related to the Fidelity SIPP as set out on the Key Features Document.
- I confirm that the information I have provided on the application, and any other documents completed in connection with this application, is correct, complete and not misleading.
- I confirm that I will not request the withdrawal of monies held in my Fidelity SIPP Account to provide benefits for me or any income or distribution earned on those monies, other than in accordance with the Rules (as this term is defined in the Fidelity SIPP Terms & Conditions)
- I confirm that any penalty or tax charge arising as a result of an unauthorised payment being made, except as a result of an error or omission in the part of the Fidelity SIPP Scheme Administrator (as this term is defined in the Fidelity SIPP Terms & Conditions), can be deducted from my Fidelity SIPP Account and paid to the Revenue. If there are insufficient funds in my Fidelity SIPP Account to cover this amount, I agree to pay the Scheme Administrator the balance of the penalty and/or tax charge.
- If contributions are to be paid:
 - a) I am under age 75 and a relevant UK individual under Section 189 of Finance Act 2004 and not a US person
 - b) The total of the member contributions paid to my Fidelity SIPP and to other registered pension schemes, on which I am entitled to tax relief, under Section 188 of Finance Act 2004, will not exceed, in any tax year, the higher of:
 - The basic amount (currently £3,600 gross); or
 - 100% of my relevant UK earnings (as defined in Section 189 of Finance Act 2004 in the tax year);
- c) the declaration in b) is correct, to the best of my knowledge and belief;
- d) I will give notice to the Scheme Administrator if an event occurs, as a result of which I will no longer be entitled to relief on my contributions, under section 188 of Finance Act 2004. I will give this notice by the later of:
 - end of the tax year in which the event occurs; and
 - 30 days of the event
- I confirm that I have read the data protection statement set out in the Fidelity SIPP Terms & Conditions and agree that my personal data (including any sensitive data) may be used for the purposes described (subject to me exercising my right not to be contacted with details of other products and services).
- I am aware that you may make searches at credit or electronic reference agencies, for the purpose of verifying my identity. I am also aware that the credit reference agencies will record details of the search whether or not my application is successful and that this is not a credit check and will not be used or seen by lenders to assess my ability to obtain future credit.
- Where I have made this application electronically in accordance with the Electronics Communications Act 2000, please accept a copy of this declaration as my authority to release all necessary information to Fidelity.
- I confirm that I have not received advice from Fidelity in connection with this application

Marketing preference

The Fidelity International Group would like to contact you from time to time about investment products and services that we feel may be of interest to you. If you would prefer not to receive these updates, please mark the boxes below. You can opt out of these communications at any time.

- Do not send me updates by email
- Do not send me updates by post or phone

Your signature

By signing here you confirm that you've read and completed all relevant sections as per the instructions on this form.

Signature



Date signed

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(DDMMYYYY)

The Direct Debit Guarantee



- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit, Financial Administration Services Ltd will notify you ten working days in advance of your account being debited or as otherwise agreed. If you request Financial Administration Services Ltd to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Financial Administration Services Ltd or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society – If you receive a refund you are not entitled to, you must pay it back when Financial Administration Services Ltd asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

The Direct Debit Guarantee



- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit, FIL SIPP Trustee (UK) Limited will notify you ten working days in advance of your account being debited or as otherwise agreed. If you request FIL SIPP Trustee (UK) Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by FIL SIPP Trustee (UK) Limited or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society – If you receive a refund you are not entitled to, you must pay it back when FIL SIPP Trustee (UK) Limited asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.