Fidelity INTERNATIONAL

Personal Investing

Exit Fees Reimbursement Form

Please complete in BLOCK CAPITALS using BLACK INK. **PLEASE NOTE: Any applications received that are not completed correctly may incur delays or may have to be returned to you.**

This form must be submitted with a relevant document from your previous provider confirming what the fee is, such as a statement or letter confirming the exit fees, within six months from the date when your investments have been transferred to Fidelity. Please note we will cover exit fees for up to a maximum of £500 per person.

1 Personal Details and Legal Requirements

TITLE **SURNAME**

FIRST NAME(S) IN FULL

POSTCODE **FIDELITY ACCOUNT NUMBER** **CONTACT TELEPHONE NUMBER** (in case of query)

2 Previous provider details

NAME OF PREVIOUS PROVIDER (From where you have transferred your investments)

EXIT FEES CHARGED BY PREVIOUS PROVIDER

£ ☐☐☐·☐☐

3 Mandate Details

I authorise Fidelity to make an exit fee reimbursement by direct credit transfer to the bank/building society account detailed below. Please note if you have already registered your bank mandate details with Fidelity, you do not need to complete this section.

This section must be completed and will be used for:

- Paying an exit fee reimbursement

NAME(S) OF ACCOUNT HOLDER(S) — THIRD PARTIES ARE NOT ACCEPTED

BANK/BUILDING SOCIETY ACCOUNT NUMBER **BRANCH SORT CODE**

NAME AND ADDRESS OF BANK OR BUILDING SOCIETY

BUILDING SOCIETY COLLECTION ACCOUNT NUMBER (IF APPLICABLE) *

* Building Society accounts — the sort code and building society collection account number can be obtained from your Building Society branch. Please ensure that your Building Society account will accept direct credit payments through the Banks Automated Clearing system. Fidelity does not accept instructions for payments to be made to an account other than the client's own personal account. If the account number and sort code are incorrect, Fidelity will not accept responsibility for any loss incurred by the applicant.

PLEASE TURN OVER

4 Declaration and Signature

By completing and signing this form, I confirm that I am a Personal Investing customer and I understand and agree to the terms and conditions set out below:

- Fidelity will reimburse the exit/redemption fees charged to a customer by their former provider/s when they move their investments (minimum of £1,000) to Fidelity, up to a maximum amount of £500 per customer.
- An exit fee is an administration charge which is imposed by the former provider and arises directly as a result of processing the transfer or re-registration of the customer's investments to Fidelity. Fidelity will not reimburse the customer for any loss of investment returns, loss of interest, dealing charges, penalties for transferring investments before their maturity dates or any other charges associated with your transfer or re-registration.
- Where a re-registration or transfer is not possible and the customer chooses to sell their investments held through another provider and subsequently make new investment/s (minimum £10,000) through Fidelity, Fidelity will cover any account closure fees charged by the customer's former provider/s (excluding any dealing charges) of up to £500 per customer. Fidelity will not cover any bid-offer spreads or any capital gains tax liability arising as a result of these transactions.
- Exit and account closure fees reimbursement must be claimed within a 6 month period from the date the transfer of the customer's investments to Fidelity is effective.
- Exit fees will be reimbursed for transfers and re-registrations and account closure fees will be reimbursed provided the conditions above are met.
- To qualify for the reimbursement, the fees from the customer's former provider must have been triggered as a direct result of the transfer or re-registration to Fidelity, or the closure of an account where the customer has subsequently (within 6 months) invested at least £10,000 through Fidelity Personal Investing.
- If the customer is transferring investments to more than one provider from their former provider at the same time, Fidelity will only reimburse the fees which are incurred as a result of direct transfer or re-registration to Fidelity. Other fees or charges not connected with the transfer will not be reimbursed.
- The completed Exit Fee Reimbursement Form and documentary evidence of the charge will need to be provided in order for the exit fees to be reimbursed to the customer. To claim the reimbursement of any account closure fees, documentary evidence of the closure fee levied will need to be provided to Fidelity, along with confirmation that a minimum of £10,000 has been invested with Fidelity within 6 months of incurring such closure fee.
- The documentary evidence referred to above, must be either a copy of the charge confirmation letter from the former provider or a statement showing the charge being deducted.
- Payment will be made to the customer by BACS when a bank mandate is held on the account or completed on this form. Alternatively, payment will be made by cheque, including if, for any reason, we are unable to use the mandate details you have supplied.

SIGNATURE AND DATE (YOU MUST SIGN HERE - Please ensure all relevant sections are completed as per the instruction on this form)

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If you have any queries about this form please visit **fidelity.co.uk** or telephone **0800 41 41 61**.
Please send your completed Exit Fees Reimbursement Form together with a statement or letter from your previous plan manager confirming the exit fees charged to Fidelity International, PO Box 80, Tonbridge, Kent TN11 9DZ. Issued by Financial Administration Services Limited which is authorised and regulated by the Financial Conduct Authority.

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