

The Fidelity SIPP

Junior Application form

This form is quick and easy to fill in, it should only take a short time to complete.

Use this form to:

- apply for the Fidelity Junior SIPP for someone under 18 years old
- make single or regular contributions
- tell us which funds you want to invest in
- transfer an existing Junior Pension to Fidelity.

Don't use this form if:

- you want to increase your payments to an existing plan.

Before you fill in this form:

- make sure you read the important documents shown in the box to the right.

How to fill in this form

- please use black ink and write clearly inside the boxes provided using capital letters
- mark your answers with a cross in the appropriate box like this:
- if you make a mistake, please correct it but don't use correction fluid.

Checklist

- Check that you have answered all the relevant questions
- If a single contribution is made by cheque, remember to attach the cheque(s) as per section 4. Please ensure that the cheque(s) is/are drawn from the personal account of the person named on the form.
- If you are making regular contributions, remember to complete and sign the Direct Debit Instruction(s) in section 4.
- Read and sign the declarations in sections 5, 7 and 8.

What's next?



Remove and keep this cover page and the Direct Debit Guarantees, then send your completed form to:

Fidelity Personal Investing
PO Box 80
Tonbridge
TN11 9FF

We will open the Junior SIPP and send you a welcome pack explaining how to register for online services to view your child's account. We will also send you a statement of your child's pension value at least once a year.



Further information on [fidelity.co.uk](https://www.fidelity.co.uk)

You should read these documents before completing this form. You will find them at [fidelity.co.uk/sippinfo](https://www.fidelity.co.uk/sippinfo)

- Terms and Conditions - this document governs our relationship with you and forms the agreement between us in relation to the Fidelity SIPP.
- Key Features Document - this summarises everything you need to know about the Fidelity SIPP
- When choosing your funds make sure you read the key information document which sets out key information about the fund including the objectives and risks, what it invests in and its charges.

Want to talk to us?

Freephone **0800 358 7480**

Mon-Fri 8am-6pm and Sat 9am-6pm

1 About the Applicant (this should be the details of the child you are applying for)

1.1 Applicant's details

1.1.1 Title

Mr Mrs Ms Other:

1.1.2 Surname

1.1.3 First and other names in full

1.1.4 Gender

Male Female

1.1.5 Date of birth (DDMMYYYY)

Town of birth

1.1.6 Country of birth

1.1.7 Employment status

Employed Self-employed Unemployed Full-time education Other - please specify below.

1.1.8 Do they have a National Insurance (NI) number? You can find this on a payslip or a letter from HMRC or DWP.

No Yes -

1.1.9 Are they a UK National only? (Please mark an X in the box)

1.1.10 Are they a UK National and National of one or more other countries?
(Please mark an X in the box and list all other countries below)

1.1.11 Are they a National of Non-UK countries only?
(Please mark an X in the box and list all other countries below)

Nationality 1

Nationality 2

Nationality 3

Nationality 4

1.1.12 Driving Licence number (if applicable - 18 characters as shown on your photocard)

1 About the Applicant (this should be the details of the child you are applying for)

1.1.13 Applicant's address. 'Care of' and PO Box are not acceptable.

House number/name

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Street, city, county and country

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Postcode

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1.1.14 Does the Applicant have an account with Fidelity?

No Yes - please give their client reference number

1	0													
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2 About the legal guardian of the Applicant

2.1 Your details

2.1.1 Title

Mr Mrs Ms Other:

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2.1.2 Surname

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2.1.3 First and other names in full

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2.1.4 Gender

Male Female

2.1.5 Date of birth (DDMMYYYY)

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Town of birth

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2.1.6 Country of birth

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2.1.7 Employment status

Employed Self-employed Unemployed Full-time education
 Pensioner Caring for children under 16 Other - please specify below.

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2.1.8 Are you a UK National only? (Please mark an X in the box)

2.1.9 Are you a UK National and National of one or more other countries? (Please mark an X in the box and list all other countries below)

2.1.10 Are you a National of Non-UK countries only? (Please mark an X in the box and list all other countries below)

Nationality 1

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Nationality 2

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Nationality 3

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Nationality 4

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2 About the legal guardian of the Applicant

2.1.11 Driving Licence number (if applicable - 18 characters as shown on your photocard)

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2.1.12 Phone numbers

Home

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 Mobile

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2.1.13 Email

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2.1.14 Your address. 'Care of' and PO Box are not acceptable.

House number/name

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Street, city, county and country

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Postcode

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2.1.15 Do you have an account with Fidelity?

No Yes - please give us your client reference number

1	0								
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3 About the third party making a payment

If you as the guardian are looking to contribute to the Junior SIPP then please go to section 4. Please only complete this section if you are looking to open the account with a payment from a third party.

3.1 Their details

3.1.1 Title

Mr Mrs Ms Other:

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3.1.2 Surname

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3.1.3 First and other names in full

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3.1.4 Gender

Male Female

3.1.5 Date of birth (DDMMYYYY)

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Town of birth

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3.1.6 Country of birth

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4 Contributions to the Junior SIPP (to be completed by the payer)

The majority of minors are non-taxpayers. Non-taxpayers can currently contribute £2,880 to a pension in any one tax year and receive tax relief (£3,600 after tax relief). Please refer to the HMRC website for further information on pension tax relief and the annual allowance.

4.1 Contributions

Due to regulatory requirements we must obtain information about the source of the contributions before we can accept your application.

4.1.1 Source of this investment

Income from salary Divorce Settlement Sale of Property Inheritance

Gift Sale of Investment/transfer Savings from income

Other - please specify:

4.2 Single contributions

4.2.1 Do you want to make a single contribution?

No - go to 4.3 Yes - what is the amount? £

4.2.2 How do I make a payment?

Cheque/banker's draft - must be made payable to Fidelity from your personal or joint account. For building society cheques or banker's drafts please also ensure that the bank/building society enters your name and address on the reverse of the cheque/draft and adds their stamp.

4.3 Regular contributions

4.3.1 Do you want to make regular contributions?

No - go to section 5

Yes - what is the regular amount you want to pay into the plan? (min £50) £

4.3.2 When would you like your regular contributions be taken from your bank account?

10th **OR** 25th

Please allow twelve working days for us to set up your Regular Savings Plan.

Collections will then start on the next available payment date and follow the frequency you have selected.

4.3.3 How often would you like payments to contribute?

Monthly Quarterly Every 6 Months Annually

We are currently changing our administration system. Please complete both of the attached Direct Debit forms on the next page. We will then set up your regular contribution using only those details necessary to complete your instruction.



Instruction to your bank or building society to pay by Direct Debit



Please fill in the whole form using a ballpoint pen and send to: Fidelity Personal Investing, PO Box 80, Tonbridge, TN11 9YA.

Name and full postal address of your bank or building society

To: The Manager	Bank/building society
Address	
Postcode	

Service User Number

4	3	9	2	4	1
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Reference

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Name(s) of account holder(s)

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Instruction to your bank or building society

Please pay Financial Administration Services Ltd. Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with Financial Administration Services Ltd and, if so, details will be passed electronically to my bank/building society.

Bank/building society account number

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Branch sort code

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Signature(s)
Date

Banks and building societies may not accept Direct Debit Instructions for some types of account

DD12



Instruction to your bank or building society to pay by Direct Debit



Please fill in the whole form using a ballpoint pen and send to: Fidelity Personal Investing, PO Box 80, Tonbridge, TN11 9YA.

Name and full postal address of your bank or building society

To: The Manager	Bank/building society
Address	
Postcode	

Service User Number

4	4	9	7	0	6
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Reference

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Name(s) of account holder(s)

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Instruction to your bank or building society

Please pay FIL SIPP Trustee (UK) Limited. Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with FIL SIPP Trustee (UK) Limited and, if so, details will be passed electronically to my bank/building society.

Bank/building society account number

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Branch sort code

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Signature(s)
Date

Banks and building societies may not accept Direct Debit Instructions for some types of account

DD12

06.19/v18.0/

FILSIPPJuniorInt

5 Transfer a Junior pension to Fidelity (continued)

5.5 Declaration and signature for transfers

I confirm that where I do not understand any or all of the information relating to my transfer application for the Fidelity Junior SIPP, I will seek suitable advice before proceeding.

In relation to my transfer application for the Fidelity Junior SIPP, I make the declarations set out below.

I declare that:

- I have been provided with and read the Fidelity SIPP Terms & Conditions and Key Features Document and I understand that this transfer will be bound by the Rules (as this term is defined in the Fidelity SIPP Terms & Conditions).
- I authorise you to release all necessary information to Fidelity to enable the transfer of funds to the Fidelity SIPP.
- I authorise and instruct you to transfer funds from the plans listed in this application directly to Fidelity. Where you have asked me to give you any original policy document(s) in return for the transfer of funds and I am unable to do so, I promise to accept responsibility for any claims, losses and expenses of any nature which you may incur as a result of having made the transfer(s) listed in this application.
- Where I have requested a cash transfer, I authorise and instruct you to sell any existing assets held by the current pension provider, and transfer the cash to Fidelity.
- If an employer is paying contributions to any of the plans as listed in this application, I authorise you to release to that employer any relevant information in connection with the transfer of funds from the relevant plan(s). Until this application is accepted and complete, Fidelity's responsibility is limited to the return of the total payment(s) to the current provider(s).
- Where the payment(s) made to Fidelity represent(s) all of the funds under the plan(s) listed in this application, then payment made as requested will discharge the current provider(s) of all claims and responsibilities in respect of the plan(s) listed.
- Where the payment(s) made to Fidelity represent(s) part of the funds under the plan(s) listed in this application, then the current provider(s) will be discharged of all claims and responsibilities only in respect of the part of the plan(s) represented by the payment(s).
- I promise to accept responsibility in respect of any claims, losses and expenses that Fidelity and the current provider(s) may incur as a result of any incorrect information provided by me in this application or of any failure on my part to comply with any aspect of this application.

- I confirm that, where I am transferring Protected Rights, I wish to transfer these from the current provider(s) to Fidelity.
- I confirm that I have not received advice from Fidelity in connection with this transfer.

Your signature

By signing here I confirm I have read and completed all relevant sections as per the instructions on this form. (If you are transferring from another pension then you must sign here and in section 7).

Signature

	
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Date signed

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7 Your application summary

7.1 General Declarations

In relation to the application for a Fidelity Junior SIPP, I make the declarations set out below.

- In respect of the individual named in this application (the "Applicant") I declare that:
- I am the legal guardian of the Applicant; and
- the Applicant is under 18 years of age.
- Until the Applicant reaches 18 years of age I will be responsible for the Fidelity SIPP Account as if I were the Account holder. During this time the Applicant will remain the beneficial owner of the Fidelity SIPP Account.
- I have been given copies of the Fidelity SIPP Terms & Conditions, Key Features Document and key information documents relevant to the chosen fund(s) to read, and acknowledge that I have read these documents and will be bound by them (as may be amended from time to time) in the event of the application being successful.
- I agree to pay all the charges related to the Fidelity Junior SIPP as set out in the Key Features Document.
- I confirm that the information I have provided on the application, and any other documents completed in connection with the application, is correct, complete and not misleading.
- I confirm that I will not request the withdrawal of monies held in the Fidelity Junior SIPP Account to provide benefits or any income or distribution earned on those monies, other than in accordance with the Rules (as this term is defined in the Fidelity SIPP Terms & Conditions).
- I confirm that any penalty or tax charge arising as a result of an unauthorised payment being made, except as a result of an error or omission in the part of the Scheme Administrator (as this term is defined in the Fidelity SIPP Terms & Conditions), can be deducted from the Fidelity Junior SIPP Account and paid to the Revenue. If there are insufficient funds in the Fidelity Junior SIPP Account to cover this amount, I agree to pay the Scheme Administrator the balance of the penalty and/or tax charge.
- I confirm that I have read the data protection statement set out in the Fidelity SIPP Terms & Conditions and agree that personal data (including any sensitive data) may be used for the purposes described (subject to me exercising my right not to be contacted with details of other products and services).

- I am aware that you may make searches at credit or electronic reference agencies, for the purpose of identity verification. I am also aware that the credit reference agencies will record details of the search whether or not the application is successful and that this is not a credit check and will not be used or seen by lenders to assess ability to obtain future credit.
- I confirm that I have not received advice from Fidelity in connection with this application.

Marketing preference

The Fidelity International Group would like to contact you from time to time about investment products and services that we feel may be of interest to you. If you would prefer not to receive these updates, please mark the boxes below. You can opt out of these communications at any time.

Do not send me updates by email

Do not send me updates by post or phone

Signature of legal guardian of the Applicant

By signing here you confirm that you've read and completed all relevant sections as per the instructions on this form.

Signature



Date signed

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7 Your application summary (continued)

7.2 Tax Relief Declarations:

If the Applicant is under 16 year of age only declaration (1) should be signed (by the legal guardian of the Applicant). If the Applicant is 16 or 17, only declaration (2) should be signed (by the Applicant).

- (1) If the Applicant is under 16 years of age and if contributions are to be paid I, the legal guardian of the Applicant confirm that:
- the total of the contributions paid to the Fidelity Junior SIPP and to other registered pension schemes, on which the Applicant is entitled to tax relief, under Section 188 of Finance Act 2004, will not exceed, in any tax year, the basic amount (currently £3,600 gross. This means that you can make a maximum contribution of £2,880 as tax relief of £720 will be added.);
 - the declaration in a) is correct, to the best of my knowledge and belief;
 - will give notice to the Scheme Administrator if an event occurs, as a result of which the Applicant will no longer be entitled to relief on contributions, under section 188 of Finance Act 2004. I will give this notice by the later of:
 - end of the tax year in which the event occurs; and
 - 30 days of the event

- (2) If the Applicant is 16 or 17 years of age and if contributions are to be paid I, the Applicant, confirm that:
- I am under age 75 and a relevant UK individual under Section 189 of Finance Act 2004 and not a US person;
 - the total of the member contributions paid to my Fidelity Junior SIPP and to other registered pension schemes, on which I am entitled to tax relief, under Section 188 of Finance Act 2004, will not exceed, in any tax year, the higher of:
 - The basic amount (currently £3,600 gross. This means that you can make a maximum contribution of £2,880 as tax relief of £720 will be added.); or
 - 100% of my relevant UK earnings (as defined in Section 189 of Finance Act 2004 in the tax year);
 - the declaration in b) is correct, to the best of my knowledge and belief; and
 - I will give notice to the Scheme Administrator if an event occurs, as a result of which I will no longer be entitled to relief on your contributions, under section 188 of Finance Act 2004. I will give this notice by the later of:
 - end of the tax year in which the event occurs; and
 - 30 days of the event

Signature of legal guardian of the Applicant

By signing here you confirm that you've read and completed all relevant sections as per the instructions on this form.

Signature




Date signed

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Signature of Applicant

By signing here you confirm that you've read and completed all relevant sections as per the instructions on this form.

Signature



Date signed

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8 Your application summary - third party

Please complete this section only if a third party is making a contribution.

8.1 Declarations

I understand that the information I provide on this form will be processed in accordance with Fidelity's data protection statement contained in the Fidelity SIPP Terms & Conditions.

I declare that:

- I have been provided with and read the Fidelity SIPP Terms and Conditions, Key Features Document and key information documents.
- The information given by me is correct to the best of my knowledge and I will inform Fidelity immediately of any changes to the information contained therein.
- I confirm that I have not received advice from Fidelity in connection with this Regular Savings Plan set-up and/or Junior SIPP top-up.

Signature of the third party

By signing here you confirm that you've read and completed all relevant sections as per the instructions on this form.

Signature



Date signed

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The Direct Debit Guarantee



- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit, Financial Administration Services Ltd will notify you ten working days in advance of your account being debited or as otherwise agreed. If you request Financial Administration Services Ltd to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Financial Administration Services Ltd or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society – If you receive a refund you are not entitled to, you must pay it back when Financial Administration Services Ltd asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

The Direct Debit Guarantee



- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit, FIL SIPP Trustee (UK) Limited will notify you ten working days in advance of your account being debited or as otherwise agreed. If you request FIL SIPP Trustee (UK) Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by FIL SIPP Trustee (UK) Limited or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society – If you receive a refund you are not entitled to, you must pay it back when FIL SIPP Trustee (UK) Limited asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.